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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/663,875	09/16/2003	Shi-Lung Lin	89188.0050

000026021  
HOGAN & HARTSON L.L.P.  
500 S. GRAND AVENUE  
SUITE 1900  
LOS ANGELES, CA 90071-2611

**THIS MATTER DOCKETED  
FOR 06/23/04**

CONFIRMATION NO. 3099

## FORMALITIES LETTER



\*OC000000012431994\*

Date Mailed: 04/23/2004

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 CFR 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

Replies should be mailed to: Mail Stop Missing Parts  
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APR 26 2004

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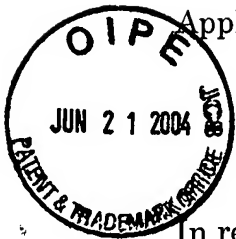
*A copy of this notice MUST be returned with the reply.*

A handwritten signature in cursive script, appearing to read "Nizam", is written over a horizontal line.

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PART 2 - COPY TO BE RETURNED WITH RESPONSE



Application Serial No. 10/663,875

PATENT  
89188.0050

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Shi-Lung Lin et al.

Confirmation No.: 3099

Serial No: 10/663,875

Filed: September 16, 2003

For: RNA-MEDIATED GENE MODULATION

Art Unit: 1645

Examiner: Not Yet Assigned

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

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June 18, 2004

Date of Deposit

Vivian Gutierrez

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Signature

06/18/2004

Date

**RESPONSE TO NOTICE TO COMPLY WITH  
REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR  
AMINO ACID SEQUENCE DISCLOSURES**

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Dear Sir:


In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated April 23, 2004, Applicants submit herewith a Sequence Listing in computer readable form as required by 37 C.F.R. §1.824. In addition, Applicants submit an initial Sequence Listing as required under 37 C.F.R. §1.823(a) and a statement under 37 C.F.R. §1.821(f).

Applicants respectfully request entry of the paper copy and computer readable copy of the Sequence Listing filed herewith for the instant application. No new matter has been introduced.

Respectfully submitted,

HOGAN & HARTSON L.L.P.

Dated: June 18, 2004

By:   
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